Current and Future Opportunities for, Challenges, and Barriers to Adoption of Connected Healthcare

IEEE CHASE June 2016

Ki Chon Krenicki Professor and Head of Biomedical Engineering University of Connecticut, Storrs, CT

Distinguished Speakers (Government)



Dr. Wendy Nilsen Program Director, National Science Foundation



Dr. Timothy Bentley Deputy Lead, Force Health Protection in the Warfighter Protection Department for ONR

Distinguished Speakers (Medical)



Dr. Edward Boyer Professor of Emergency Medicine Director of Toxicology University of Massachusetts Medical School

Distinguished Speakers (**Industry**)





Dr. Insoo Kim

Lead Research Engineer Samsung research America Dallas/Fort Worth, Texas

Dr. Florence Hudson Senior Vice President & Chief Innovation Officer Internet 2 Washington DC

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Q & A session

Q1: Data security: how do we ensure data security on the device and during data transfer to ensure FDA approval of the device and software applications, especially as we all want to move to a "bring your own device" model for ambulatory patients?

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EEENH2016



National Institutes of Health

Special Topics Conference on Healthcare Innovations and Point-of-CareTechnologies

Mexico, November 9-11, 2016

CasaMagna Marriott Cancun Resort

Keynote Speaker Roderic Pettigrew, MD, PhD Director, NIBIB, NIH

Invited Speakers and Panelists Steve Schachter, MD, CIMIT, Harvard Medical School Jim Gallarda, PhD, Gates Foundation Julian Goldman, MD, MGH and Partners HealthCare Paul Pearlman, PhD, NCI Jie Chen, PhD, University of Alberta, Canada Erica Forzani, PhD, Arizona State University

Conference Co-Chairs Atam P Dhawan , PhD, NJIT Paul Pearlman, PhD, NCI

Program Co-Chairs Ki Chon, PhD, University of Connecticut Emilio Sacristan, PhD, CI3M, Mexico

International Program Co-Chairs Srini Tridanadpani, MD, PhD, Emory University Thomas Penzel, PhD, Charite Universitätsmedizin Berlin, Germany Arturo Forner-Cordero, PhD, University of São Paulo, Brazil Silvestro Micera, PhD, Escle Delute to brigge

Ecole Polytechnique Fédérale de Lausanne, Switzerland

Program Committee Tiffani Lash, PhD, NIBIB Mary Rodgers, PhD, NIBIB Erin Iturriaga, MSN, NHLBI Amy Kraftt, PhD, NIAID Christine Kelley, PhD, NIBIB The IEEE EMBS Special Topic Conference on Healthcare Innovations & Point-of-Care Technologies will be held in Mexico from 9-11 November 2016. This conference will focus on healthcare innovations and point-of-care technologies, and their clinical translation to address challenges in global quality healthcare. The proposed conference will provide an international forum with clinicians, healthcare providers, industry experts, innovators, researchers and students to define clinical needs and technology solutions towards commercialization and translation to clinical applications across different environments and infrastructures. Panel discussions and open forum sessions along with research presentations will focus on clinical development, translation, commercialization, the implementation and user-compliance of innovative healthcare and point-of-care technologies in clinical (hospital, emergency, acute, chronic and primary care), non-traditional (consumer) and under-resourced settings.

Conference themes would include a topical coverage of (but not limited to):

- Healthcare Innovations: Devices, Systems and Services with applications to monitoring, diagnosis, therapeutic, surgical, emergency care and interventional protocols.
- Point-Of-Care (POC) Technologies Clinical Translational of Healthcare Innovations and POC technologies
- POC Technologies in Under Resources Settings
- Lab-on-a-chip
- Devices for Molecular Epidemiology
- Compliance and Acceptance of POC Technologies
- Evidence-based Medicine
- Personalized, Preventive and Precision Medicine
- mHealth Innovations
- Wireless Communications and device data control and fusion
- Critical Care
- Medical and Healthcare Data Communication, Security, Privacy
- Infrastructure Independent Care
- Integration of innovations and point of care diagnostic devices into systems of healthcare
- Regulatory challenges (US and International)
- Global Healthcare Challenges

Important Dates:

<u>4-page papers</u>

Submission opens: *May 9, 2016*

Submission deadline: July 1, 2016

Accept / reject notification: Jul 21, 2016

Final submission deadline: *Aug 8, 2016*

Student Paper Award

Submission deadline: July 1, 2016

1-page papers

Submission opens: *May 15, 2016*

Submission deadline: July 15, 2016

Accept / reject notification: *Jul 25, 2016*

Final submission deadline: *Aug 15, 2016*

Hotel Reservation

Group Rate Cut Off: *Oct 8, 2016*

BILL& MELINDA GATES foundation

Conference website: <u>http://hipt.embs.org</u>

Current and Future Opportunities for, Challenges, and Barriers to Adoption of Connected Healthcare

Florence Hudson Senior Vice President & Chief Innovation Officer Internet2

IEEE CHASE June 2016

TERNET®

Aspirational view of Connected Healthcare



RY

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But...what are the risks?

- Trust?
- Identity?
- Privacy?
- Protection?
- Safety?
- Security?
- TIPPSS



The evolution of today's Connected Healthcare reality

- Number of connected devices is increasing with the goal to improve patient care and create efficiencies in the healthcare system: 10-15M medical devices in US hospitals today, with an average of 10-15 devices allocated per bed
- Growing "Bring Your Own Device" paradigm for providers and patients
- Proprietary/closed devices and systems are "assumed" secure
- Inadequate teamwork between medical providers, device vendors, technology innovators, cybersecurity experts, insurance companies, regulators, patients, to assess & address vulnerabilities
- ROI not agreed for improved security needs across ecosystem

ERNET_®

 Rate of innovation is slow, and will continue to be unless we work as a Collaborative Innovation Community

"Only when we work collaboratively and openly in a trusted environment will we be able to best protect patient safety and stay ahead of cybersecurity threats"

- Dr. Suzanne Schwartz, FDA's Center for Devices and Radiological Health

- FDA recommends that manufacturers adhere to the NIST Framework for Improving Critical Infrastructure Cybersecurity (Identify, Protect, Detect, Respond, and Recover).
- Calls for the creation of an Information Sharing Analysis Organization (ISAO) to collect cyber security threat information that is shared amongst value chain participants.
- Failure to maintain cybersecurity can result in compromised device functionality, loss of data availability or integrity, or exposure of other connected devices or networks.
- FDA guidance applies to the **full life cycle of a medical device** with embedded software, including firmware, and software that acts as a medical device.
- FDA recognizes that medical device security is a shared responsibility:
 - Healthcare facilities

- Providers

Patients

- Medical device manufacturers

http://www.fda.g.gv/downloads/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/UCM482022.pd

http://www.moder.nhe.alt.hcar.e.com/article/20160119/NEWS/160119860?template=print

INTERNET®

"If people will follow the guidance, it represents basic commonsense principles for engineering or developing new devices."

- Mac McMillan, Co-Founder and CEO of CynergisTek, Inc.

ERNET_®

- FDA recommendations address "basic" cyber security components for manufacturers to address: up-to-date operating systems, security patch management, and provide audit trails for device access
- Device manufacturers will only need to report vulnerabilities to the FDA when they compromise the device's clinical performance with potential for serious, adverse health consequences
- Making the **guidelines into regulations** will further ensure public safety from an industry dependent upon compliance and regulations
- **Constant vigilance and guideline modifications** will be needed to stay abreast of the latest threats to public safety

purces: http://www.modernhealthcare.com/article/20160119/NEWS/160119860 p://icitech.org/wp-content/uploads/2016/02/ICIT-Blog-FDA-Cyber-Security-Guidelines2.pdf p://www.techtimes.com/articles/126019/2016012016da-urges-medical-device-manufacturers-to-tighten-cybersecurity.htm

Mitigating risks in Connected Healthcare

Multi-factor authentication

INTERNET_®

- Multi-level security, "Defense in Depth"
 - Hardware, firmware, software, service level security
 - From application, EHR/EMR, through the network to the device, including the provider, patient, payer
- Requires end-to-end ecosystem partnerships
 - Across the technology ecosystem: chip to device to network to servers to storage to software to cloud
 - Technologists, providers, device manufacturers, standards, policy, payers, patients



Addressing TIPPSS is essential to achieving safe, secure, scalable Connected Healthcare adoption

Trust Identity **Privacy Protection** Safety Security

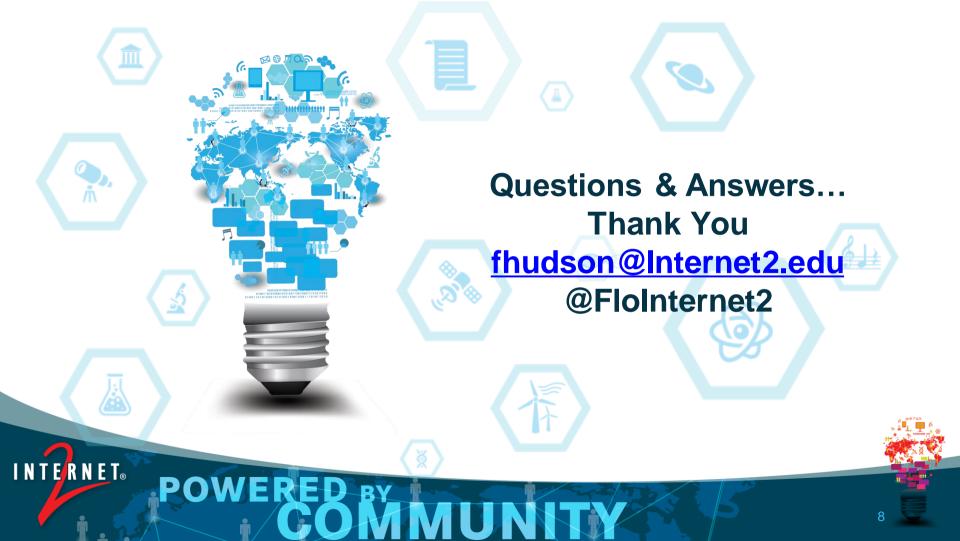
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Across elements of connected ecosystem:

- Users
- Devices
- Gateways
- Communications
- Clouds
- Software
- Services
- Data



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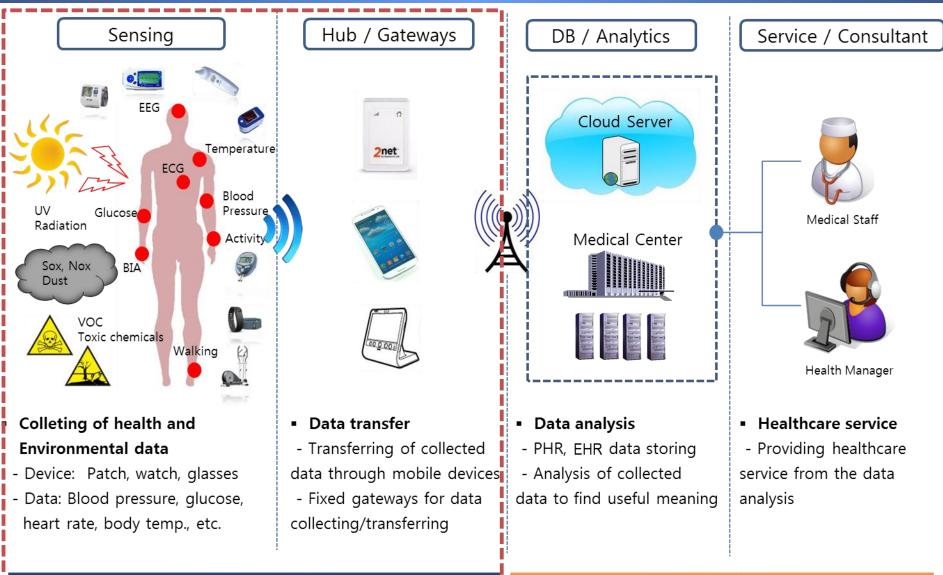
Panel Discussion: Current and future opportunities for, challenges, and barriers to adoption of connected healthcare

Connected / Mobile Healthcare: Consumer Electronics Industry Point of View

June 28, 2016

Insoo Kim, Ph.D. Samsung Research America

Connected / Mobile Healthcare Ecosystem



Consumer Region

Samsung's Fitness Device Portfolio (current)



Samsung's Direction to Mobile Healthcare

Smartphone with physiological signal sensing

- Blood glucose, body temperature, heart rate, SpO2, body fat, stress (Lifewatch)
- '12 CE approved



- Body temperature, heart rate, ECG, SpO2, body fat, stress (Scanadu)



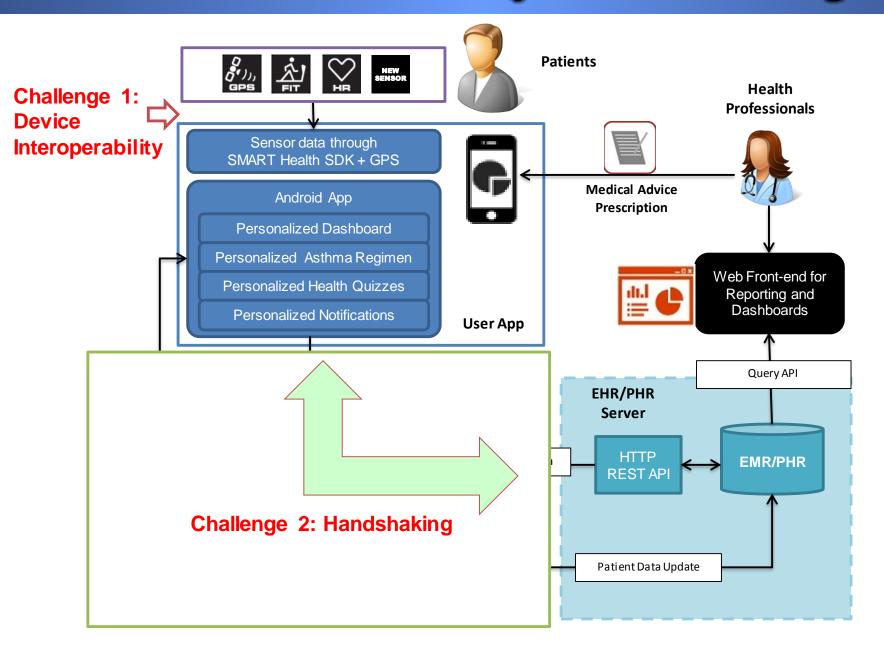
SCOUT



Demonstration



Connected Healthcare System & Challenges



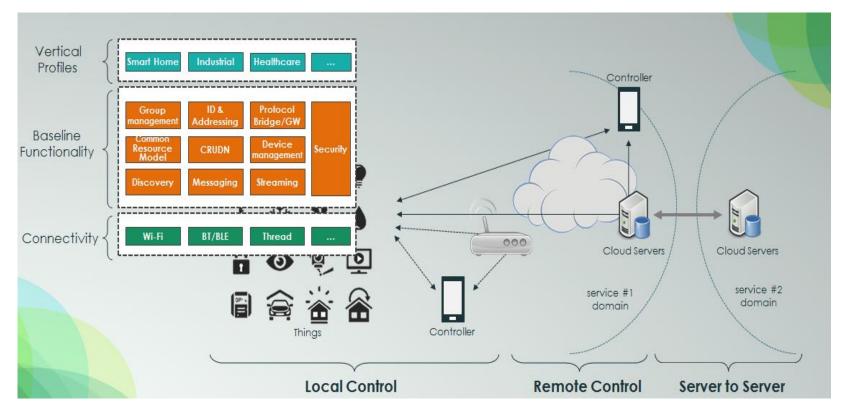
Challenge1: Device Interoperability



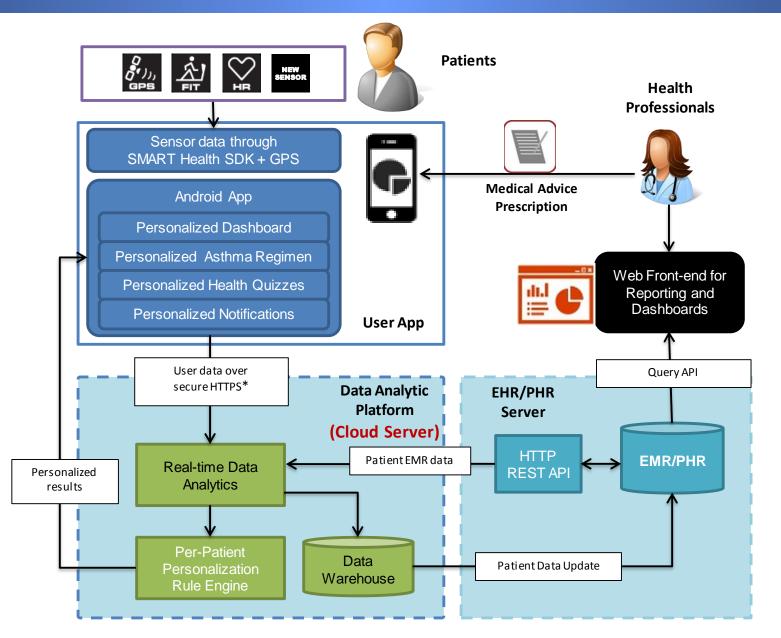
IEEE 11073 PHD	ANT+	Samsung
Pulse oximeter	-	Pulse oximeter
Basic electrocardiograph	-	ECG monitor(Heart Rate Monitor)
Blood pressure monitor	Blood Pressure	Blood pressure
Thermometer	-	Thermometer
Weighing scale	Weight Scale	Weighing Scale
Body composition analyzer		Body composition analyzer
International Normalized Ratio monitor	-	-
Glucose meter	Glucose (Draft)	Glucose meter
Peak expiratory flow monitor	-	Peak expiratory flow monitor
Cardiovascular fitness & activity monitor Strength fitness equipment	Fitness Equipment, Heart Rate Monitor	Fitness device
Independent living activity hub	-	-
Medication monitor	-	Medication
-	Bicycle Power	Bicycle PSC
-	Bicycle Speed and Cadence	(Power Speed Cadence)
-	Environment	-
-	Geocache	-
-	Multi Sports Speed & Distance Monitor	
-	Stride Based Speed & Distance Monitor	Speed and Distance Monitor
-	-	Sleep Monitor Insulin Pen Data Logger

Standardization & Test Beds

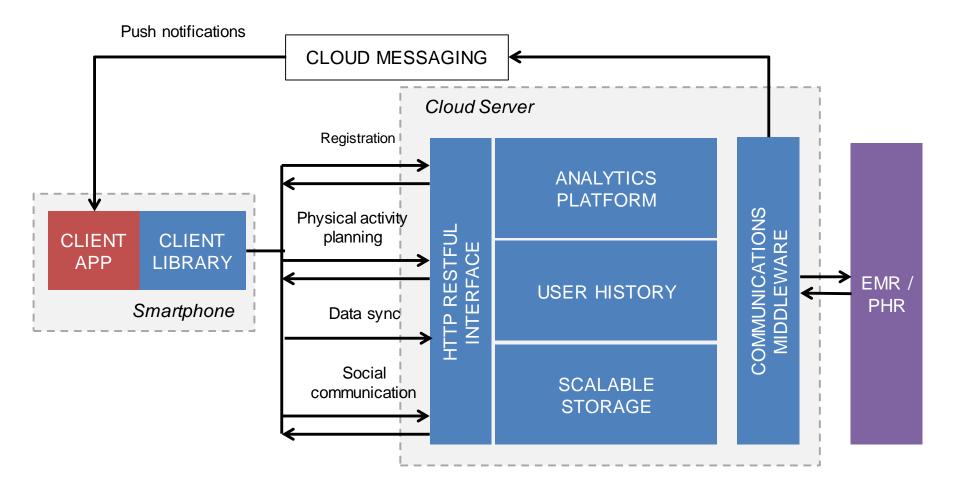
- Industrial Internet Consortium (IIC)
 - Application-specific testbeds
 - Build vertical eco-system
- Open Interconnect Consortium (OIC)
 - Standardization (Smart Home/IoT)



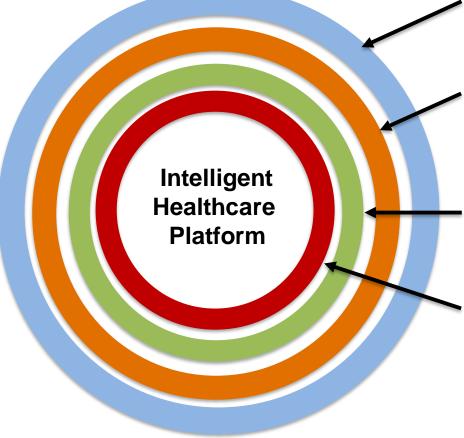
Challenge 2: Cloud-based Data Analytics



Challenge 2: Cloud-based Data Analytics



Security & Privacy



Perimeter Level Security

Network Security (VPN) Apache Knox (protocol level)

Authentication

LDAP for user authentication Kerberos for system level Knox for Rest API

Authorization & Audit

ACLs for Computation, Online stores, DFS

Privacy

Data Protection with transparent data encryption (AES-NI) support & HIPAA compliance



Health Research at the NSF

Wendy Nilsen, PhD

Program Director, Smart and Connected Health

Background NSF

- Health research can be found in many areas in NSF and within the mission of several cross-directorate initiatives
- It is a case of use-inspired basic research. The scientific advances in basic science can be in computing, information science, engineering or social or behavioral science. The benefit to health research is important, but second to the advances in basic science.
- Three major homes for this research:
 - Smart and Connected Health
 - Big Data
 - Cyber-physical Systems
 - Core Programs



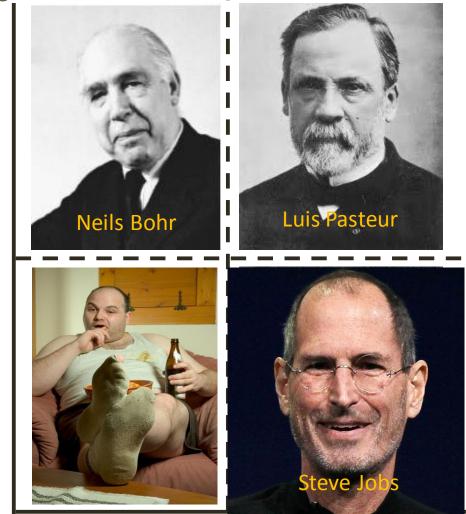
Smart & Connected Health (SCH) Inter-Agency Program National Science Foundation National Institutes of Health

Wendy Nilsen, PhD

Program Director, Smart and Connected Health Computer and Information Sciences and Engineering, NSF

Pasteur's Quadrant

Quest for Basic Understanding



Application Inspired: Consideration of Use

Donald E. Stokes, Pasteur's Quadrant – Basic Science and Technological Innovation, Brookings Institution Press, 1997 4

Overarching Goals of SCH

- To transform health:
 - ✓ from reactive to proactive
 - ✓ from experienced-based to **evidence-based medicine**
 - ✓ From clinic-centered to patient center care
 - To wellness that extends to the home, workplace and community
 - ✓ Move focus from disease to health and wellbeing at the individual, system, and organizational level



Scope of SCH Program

Goal: Seek improvements in safe, effective, efficient, equitable, & patient-centered health through innovations in fundamental computer & information sciences, engineering & social, behavioral & economic sciences

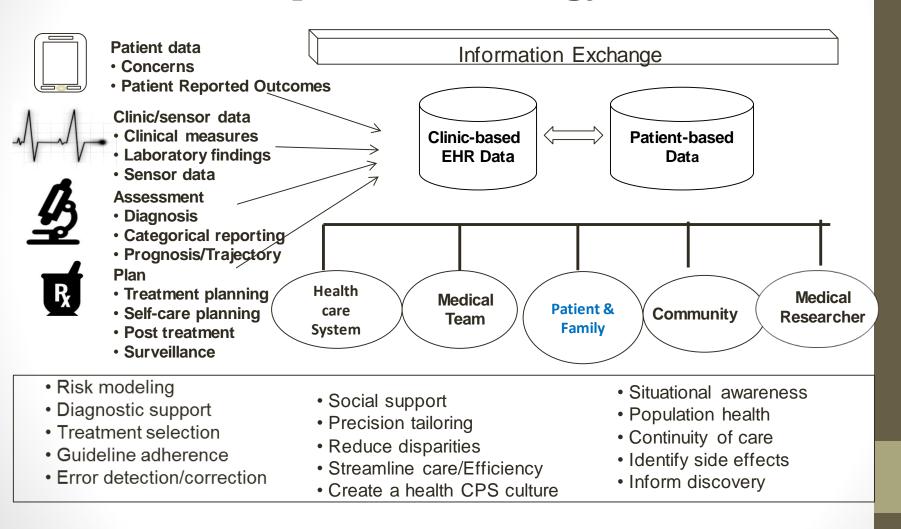
- **Funded** work must include & address:
 - ✓ A key health problem
 - ✓ Fill in research gaps that exist in science & technology in support of health & wellness
 - ✓ Include a research team with appropriate expertise in the major areas involved in the work
- Activities should complement rather than duplicate core programs of NSF & NIH as well as those of other agencies (ex. Agency for Healthcare Research and Quality / Veteran's Administration)







Smart and Connected Health: People, Technology, Process



Smart and Connected Health Research Areas

Digital Health Information Infrastructure Informatics and Infrastructure	 Integration of EHR, clinical and patient data Access to information, data harmonization Semantic representation, fusion, visualization 	
Data to Knowledge to Decision <i>Reasoning under</i> <i>uncertainty</i>	 Datamining and machine learning Inference, cognitive decision support system Bring raw image data to clinical practice 	
Empowered Individuals Energized, enabled, educated	 Systems for empowering patient Models of readiness to change State assessment from images video 	
Sensors, Devices, and Robotics	 Assistive technologies embodying computational intelligence Medical devices, co-robots, cognitive orthotics, rehab 	
Sensor-based actuation	coaches	

What NOT to do!

The following will likely result in a declined proposal:

- Intellectual merit is exclusively focused in health/health care
 - ✓ SCH requires transformative advancements in computer science, engineering, behavioral and/or social sciences inspired by a need in health or healthcare
- Collaborations with medical providers who have no experience in research
 - ✓ Appropriate research collaborators are key to integrating technical advancements with challenges in the health field
- Proposal is written by yourself and health collaborator is only consulted just prior to submission
 - Proposed research should be influenced by health collaborators from its inception!
 - Consider attending grand rounds to immerse yourself in health challenges and meet collaborators, or attend technical conferences to meet computer scientists and engineering collaborators
- Propose clinical trials or traditional disease-centric medical, clinical, pharmacological, biological, or physiological studies



NSF SCH Contacts

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- Mary Rodgers, Division of Health Informatics Technologies, National Institute of Biomedical Imaging and Bioengineering (NIBIB), NIH, email: <u>mary.rodgers@nih.gov</u>
- Tiffany Lash, Division of Discovery Science and Technology, National Institute of Biomedical Imaging and Bioengineering (NIBIB), NIH, email: <u>tiffany.lash@nih.gov</u>



Examples of Funded SCH Work



National Institutes of Health Turning Discovery Into Health

Privacy-Preserving Framework for Publishing Electronic Healthcare Records

Pls: Heng Huang, Nan Zhang, & Liam O'Neill UTA, GWU, UNTSC NSF IIS #1344152, 1343976, & 1344072



Motivation:

- Real EHR privacy leakage is common today, even for data that meet HIPAA's "safe harbor" standard
- The community needs:
 - 1) *privacy verification* tools to evaluate privacy leakage for published EHRs
 - 2) *privacy-preserving* techniques that thwart reidentification while maintaining utility

Broader Impacts:

- A prototypical system for public health researchers, to detect privacy leakage and automate privacy protection over EHR
- Develop novel educational tools for new courses and laboratory classes on healthcare, data privacy, data mining, etc.





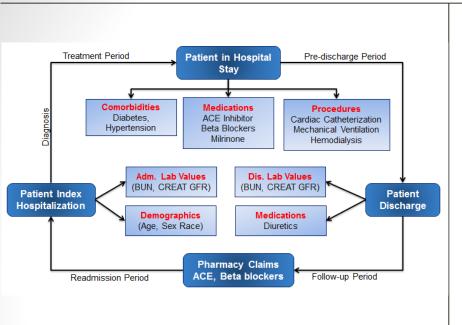
National Institutes of Health Turning Discovery Into Health

Transformative:

- Inter-disciplinary study applying computer science and "big data" techniques to inform public health policy
- Significantly enhance EHR publishing, health services research, and secondary data analysis

Technical Approach:

- Stage 1: Recover Protected Health Information (PHI) based on published data that has been "deidentified" via automated identification of data inter-dependency through data analytics and medical domain knowledge
- Stage 2: Develop software to perform deidentification in a safe and secure manner to prevent recovery of PHI



Broader Impacts:

- Big Data Analytics for Healthcare Tutorial presented at major conferences such as KDD, SDM. Slides: http://dmkd.cs.wayne.edu/TUTORIAL/Healthcare/
- Upcoming new book: "Healthcare Data Analytics", to be published by CRC Press in 2015.

Motivation:

- Hospitalizations account for more than 30% of the \$2 trillion annual cost of healthcare in the United States.
- Healthcare data residing at multiple sites causes challenges for data integration and effective modeling due to privacy concerns and presence of different population groups.

Transformative:

- Identifying patients at risk of readmission can guide efficient resource utilization and can potentially save millions of healthcare dollars each year.
- Ability to leverage additional sources of information from other hospital records to improve the predictive power of the existing health records.

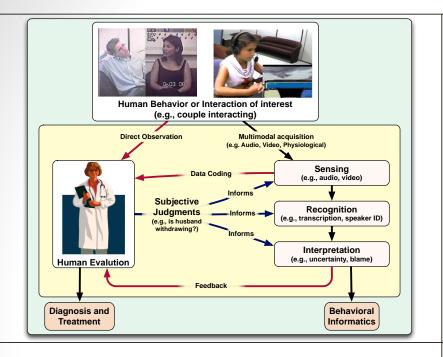
Technical Approach:

- Novel clinical feature transformation methods that can handle several complexities that exist in clinical data.
- Correlation based regularization strategies to incorporate correlation between variables in the data.
- Constrained regularization method for knowledge transfer.



SHB: Medium: Quantitative Observational Practice in Family Studies

Panayiotis (Panos) Georgiou, University of Southern California



Broader Impacts:

- Technologies can apply to other application domains, e.g. meetings, negotiations, focus groups
- · Encourages cross-disciplinary exposure and knowledge transfer
- Identifies new behavioral metrics, e.g. vocal entrainment



Motivation:

- Transform observational behavior analysis through a computational framework
- Offer new analysis capabilities and empower the mental health experts
- Model emotionally-rich human interactions through signal processing and machine learning

Transformative:

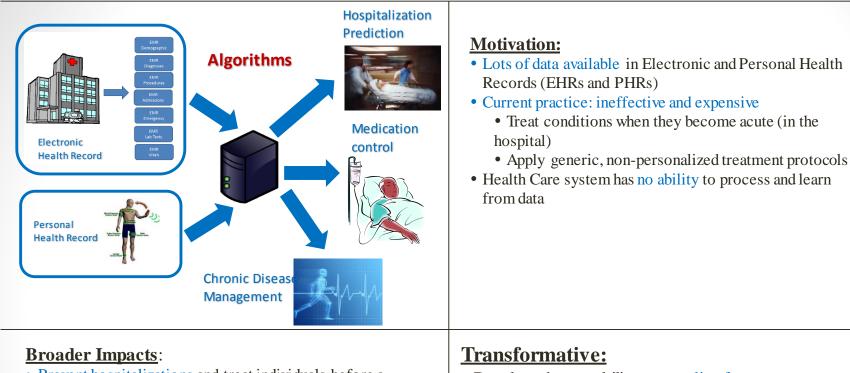
- Can improve mental healthcare and observational practice
- · Can empower and provide new behavioral cues to experts (e.g. psychologists)
- Can enable scalability and knowledge discovery through big data

Technical Approach:

- Use real *couple therapy interactions*
- Use Signal Processing techniques on the acoustic, lexical and visual channels. Extract meaningful features (e.g. automatically transcribe, head motion)
- Use Machine learning to learn from human ratings



gnal processing for Communi Understanding and Behavior Analysis



- Prevent hospitalizations and treat individuals before a condition becomes acute
- Reduce the cost of hospital care (more than \$30B spent on preventable hospitalizations in the US each year)
- Leverage and learn from health data available in the hospital and directly from individuals (health monitors, lifestyle data, smart phone)
- Develop the capability to predict future hospitalizations from the EHR/PHR of an individual
- Prediction can lead to Prevention
- Develop personalized disease management plans
- Develop personalized & automated medication control

Technical Approach:

- Novel inference and classification approaches (k-LRT) leading to interpretable results
- Novel joint clustering and classification
- Anomaly detection
- Adaptive control for medication control



Other Funding Opportunities

- NSF CRII (16-565) and CAREER (15-555)
- NSF Big Data (16-512)
- NSF & NIH Cyber-Physical Systems (16-549)
- NSF CISE Core Programs



Better health through a relay between basic and applied science



Questions or Comments?

Wendy Nilsen

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