

# Sampling Graphs without Forbidden Subgraphs and Unbalanced Expanders with Negligible Error

## (Extended Abstract)

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**Abstract**—Suppose that you wish to sample a random graph  $G$  over  $n$  vertices and  $m$  edges conditioned on the event that  $G$  does not contain a “small”  $t$ -size graph  $H$  (e.g., clique) as a subgraph. Assuming that most such graphs are  $H$ -free, the problem can be solved by a simple rejected-sampling algorithm (that tests for  $t$ -cliques) with an expected running time of  $n^{O(t)}$ . Is it possible to solve the problem in running time that does not grow polynomially with  $n^t$ ?

In this paper, we introduce the general problem of sampling a “random looking” graph  $G$  with a given edge density that avoids some arbitrary predefined  $t$ -size subgraph  $H$ . As our main result, we show that the problem is solvable with respect to some specially crafted  $k$ -wise independent distribution over graphs. That is, we design a sampling algorithm for  $k$ -wise independent graphs that supports efficient testing for subgraph-freeness in time  $f(t) \cdot n^c$  where  $f$  is a function of  $t$  and the constant  $c$  in the exponent is independent of  $t$ . Our solution extends to the case where both  $G$  and  $H$  are  $d$ -uniform hypergraphs.

We use these algorithms to obtain the first probabilistic construction of constant-degree polynomially-unbalanced expander graphs whose failure probability is *negligible* in  $n$  (i.e.,  $n^{-\omega(1)}$ ). In particular, given constants  $d > c$ , we output a bipartite graph that has  $n$  left nodes,  $n^c$  right nodes with right-degree of  $d$  so that any right set of size at most  $n^{\Omega(1)}$  expands by factor of  $\Omega(d)$ . This result is extended to the setting of unique expansion as well.

We observe that such a negligible-error construction can be employed in many useful settings, and present applications in coding theory (batch codes and LDPC codes), pseudorandomness (low-bias generators and randomness extractors) and cryptography. Notably, we show that our constructions yield a collection of polynomial-stretch locally-computable cryptographic pseudorandom generators based on Goldreich’s one-wayness assumption resolving a central open problem in parallel-cryptography (cf., Applebaum-Ishai-Kushilevitz, FOCS 2004; and Ishai-Kushilevitz-Ostrovsky-Sahai, STOC 2008).

**Keywords**-Expander Graph, LDPC Codes, Local Cryptography

### I. INTRODUCTION

Many combinatorial properties of graphs and hypergraphs can be formulated as avoiding some family  $\mathcal{H}$  of small subgraphs. Notable examples consist of graphs that avoid short cycles or small cliques, expander graphs (that

avoid small non-expanding subgraphs) and even graphical representations of good error-correcting codes (that avoid small “stopping sets” [17]). Motivated by the wide range of applications, the computational problem of efficiently constructing  $\mathcal{H}$ -free graphs has attracted a huge amount of research (e.g. [1], [15], [16], [23], [33]). In this paper, we consider several natural probabilistic variants of the construction problem.

*Setup:* Let  $\mathcal{G}_{n,m,d}$  be the set of all  $(n, m, d)$ -hypergraphs, i.e.,  $d$ -uniform hypergraphs over  $n$  vertices with  $m$  hyperedges. We typically think of  $d$  as a constant that does not grow with  $n$  and take  $m = \text{poly}(n)$ . Let  $\mathcal{H}$  be a family of “small”  $d$ -uniform hypergraphs of size at most  $t$  for some slowly growing function  $t(n)$ . While our setup is defined with respect to hypergraphs (to match our applications), the following problems make sense even for simple undirected graphs (i.e.,  $d = 2$ ) and so, for now, the reader may safely focus on this special case. (Indeed, we are not aware of prior solutions that handle the case of simple graphs.)

*Problem 1.1 (Zero-error/negligible-error constructions):* Generate an  $\mathcal{H}$ -free hypergraph  $G \in \mathcal{G}_{n,m,d}$  in probabilistic  $\text{poly}(n)$ -time. The algorithm is allowed to fail with a *negligible* error probability that vanishes faster from any inverse polynomial, i.e.,  $n^{-\omega(1)}$ . Such a construction is referred to as a *negligible-error construction*. We say that this is a *zero-error* (or **ZPP**) construction if the algorithm outputs a special failure symbol whenever it fails to find an  $\mathcal{H}$ -free graph.

Unlike the classical de-randomization literature which typically emphasizes the distinction between *deterministic* and *probabilistic* construction, in Problem 1.1 we focus on the *error level*. We advocate the use of negligible-error constructions as a second-best alternative when explicit constructions are unknown. Indeed, for many applications a randomized construction that almost never fails is almost as good as a fully explicit construction. In particular, if one is planning to plug-in  $G$  into some randomized algorithm or system then a negligible error in the construction of  $G$  will be swallowed by the overall error probability of the

algorithm.<sup>1</sup>

Following the standard cryptographic tradition, we insist on an error that is negligible (i.e., tends to zero faster than any polynomial), in order to guarantee a tiny failure probability even after polynomially-many repetitions.<sup>2</sup> Throughout the paper, we typically assume that an  $\alpha$ -fraction of all  $(n, m, d)$ -hypergraphs are  $\mathcal{H}$ -free, where the density  $\alpha$  is large but not overwhelming, i.e.,  $\alpha(n) = 1 - n^{-c}$  for some constant  $c > 0$ . In this case, the problem is non-trivial when testing  $\mathcal{H}$ -freeness cannot be done in polynomial-time.

While Problem 1.1 is a relaxation of the explicit-construction problem, our next problem addresses the harder task of generating a random, or pseudorandom,  $\mathcal{H}$ -free graph.

*Problem 1.2 (Quasi-random  $\mathcal{H}$ -free graphs):* Sample in expected probabilistic  $\text{poly}(n)$  time a random graph  $G$  from some “pseudorandom” distribution over  $\mathcal{G}_{n,m,d}$  conditioned on being  $\mathcal{H}$ -free.

The general task of generating a pseudorandom object that always satisfies some given property was first studied by Goldreich, Goldwasser and Nussboim [21].<sup>3</sup> In this setting the property (i.e.,  $\mathcal{H}$ -freeness) is viewed as a necessary *worst-case* requirement that should be satisfied by *any* sampled hypergraph  $G$ . Using the terminology of [21], the *implementation*  $G$  must be *truthful* to the  $\mathcal{H}$ -freeness *specification*. Conditioned on this,  $G$  should be distributed uniformly or close to uniformly under some metric.

This combination of requirements arises when one tries to understand the behavior of an  $\mathcal{H}$ -free random system (e.g., in simulation) or when the hypergraph  $G$  is being used as part of a system whose analysis relies on a random choice of  $G$  and, in addition, its validity depends on  $\mathcal{H}$ -freeness. In such a case we cannot use a single explicit construction of  $\mathcal{H}$ -free hypergraphs since it may fail to achieve some other property of pseudorandom hypergraphs. On the other hand, we cannot use a random sample from  $\mathcal{G}_{n,m,d}$  since it fails to be  $\mathcal{H}$ -free with *positive* (in our case, inverse polynomial) probability.

We further mention that in some cases even a tiny positive failure probability can be problematic. This is the case, for example, when the sampling procedure is invoked by an untrusted party who can benefit from the existence of  $\mathcal{H}$ -subgraphs. If our sampling algorithm has a positive failure

<sup>1</sup>This view is implicitly used in other contexts. For example, although the problem of deterministically generating  $n$ -bit primes is wide open, there are randomized algorithms that generate such primes with negligible (or even zero) error probability. Consequently, applications which employ prime numbers (or prime-order finite fields) rely on negligible-error constructions.

<sup>2</sup>Observe that in our context it is not clear how to reduce the error probability from constant or even inverse polynomial  $1/n^{\Omega(1)}$  to negligible.

<sup>3</sup>The work of [21] focuses on *huge* exponential-size random objects. However, the problem remains non-trivial even for polynomial-size objects as long as the required property cannot be tested in polynomial-time. See Section II-B for further discussion regarding the applicability of our results to the GGN setting.

probability, then a cheating party can cheat by selecting “bad coins” that lead to hypergraphs with  $\mathcal{H}$ -subgraphs. Since general subgraph-testing seems to be computationally-hard such a cheating may be left undetected.<sup>4</sup>

*The testing barrier:* A natural way to sample  $\mathcal{H}$ -free random hypergraphs is via rejected sampling. That is, repeatedly sample  $G$  until an  $\mathcal{H}$ -free hypergraph is chosen. Since we work in a regime where most hypergraphs are  $\mathcal{H}$ -free, the expected number of iterations will be polynomial. This approach reduces the sampling problem to the subgraph testing problem. If the largest hypergraph in  $\mathcal{H}$  is of constant size  $t$ , then the problem can be trivially solved in time  $f(t)n^{O(t)}$ . However, we think of  $t$  as a large constant, or as a slowly increasing function of  $n$ , and so we would like to have a running time of  $f(t)n^c$  where the exponent  $c$  is independent of  $t$ . Unfortunately, such a running time cannot be achieved for general subgraph-testing (even for simple cases such as cliques) unless the exponential-time hypothesis (ETH) fails (cf. [18]). We refer to this hardness-of-testing as the *testing barrier*. Jumping ahead, we will show that some variant of this barrier arises if one tries to sample a hypergraph that is *uniformly* distributed over all  $\mathcal{H}$ -free hypergraph in  $\mathcal{G}_{n,m,d}$ .

*Summary:* The problem of constructing  $\mathcal{H}$ -free hypergraphs can be roughly ranked from easy to hard as follows: negligible-error constructions, zero-error constructions, explicit constructions, pseudorandom constructions.

## II. OUR RESULTS

We partially resolve Problems 1.1 and 1.2. Our main results consist of two main parts. We begin by studying pseudorandom constructions of  $\mathcal{H}$ -free hypergraphs (Sections II-A and II-B). Then we focus on the concrete case of unbalanced expanders, describe negligible-error constructions of such graphs (Section II-C), and use them to derive various applications (Section II-D).

### A. Sampling $k$ -Wise Independent Graphs Conditioned on $\mathcal{H}$ -Freeness

We show that Problem 1.2 can be solved with respect to some *k-wise independent* distribution over  $\mathcal{G}_{n,m,d}$ . Here *k-wise independence* means that every  $k$ -subset of the hyperedges are distributed uniformly over all possible  $d$ -uniform hyperedges. The use of *k-wise independent* distributions as a good model for pseudorandom graphs was advocated by Naor, Nussboim and Tromer [36] and by Alon and Nussboim [2]. These works further show that a large family of natural graph-theoretic properties that hold whp over random graphs (with a given edge density) also hold whp over  $\text{polylog}(n)$ -wise independent distributions with the same density.

<sup>4</sup>The work of [14] provides a good example for such a case in the context of *financial derivatives*.

We bypass the “testing barrier” by designing a concrete  $k$ -wise independent probability distribution  $\mathcal{G}_{n,m,d,k}$  in a way that allows us to efficiently test whether a given sample  $G$  is  $\mathcal{H}$ -free. That is, our distribution is amenable to subgraph testing *by design*. To formalize this strategy, we introduce a new notion of *sampler/tester* pair of algorithms. Roughly speaking, the sampler  $S$  samples an object according to some given distribution  $D$ , and the tester  $T$  examines the *coins* of the tester and checks whether the corresponding object avoids some *bad* event  $E$ . The combination of the two allows us to sample the conditional distribution  $[D|¬E]$ . (See full version of this paper [12] for more details on the sampler/tester framework.)

We prove the following key theorem. Below we define the log-density of an  $(n, m, d)$  hypergraph as  $c = \log_n m$ , and define the size of a hypergraph as the sum of its vertices and hyperedges.

*Theorem 2.1 (key theorem):* For every log-density parameter  $c > 1$ , edge-uniformity parameter  $d \geq 2$ , subgraph-size function  $t(n) \leq O(\frac{\log \log \log n}{\log \log \log \log n})$  and independence parameter  $k(n)$  that satisfies  $k(n) \leq O(n^{1/t^{c^t}})$  where  $c'$  is a constant that depends on  $c$ , there exists a poly( $n$ )-time sampler/tester pair  $(S, T)$  with the following properties:

- Given  $1^n$ , the randomized sampler  $S$  uses its internal random coins  $r$  to sample an  $(n, m = n^c, d)$  hypergraph  $G_r$  whose hyperedges are  $k(n)$ -wise independent.
- The deterministic tester takes as input a  $d$ -uniform hypergraph  $H$  of size at most  $t(n)$  and a fixed sequence of coin tosses  $r$  and accepts the input if and only if  $H$  is a subgraph of the hypergraph  $G_r = S(1^n, r)$  that is generated by  $S$  using coin tosses  $r$ .

Although the size  $t$  of the tested subgraph is relatively small, it is still *super-constant*. This property will be crucial for our applications. We further note that the independence parameter  $k(n)$  is super-logarithmic (or even “almost” polynomial) in  $n$  and so the pseudorandomness properties established by [2], [36] hold. (See the full version [12] for a more detailed version of Theorem 2.1 as well as all other theorems that are stated here.)

*Sampling  $\mathcal{H}$ -free graphs:* It is important to note that our sampler  $S$  is independent of the subgraph  $H$ , and that the tester  $T$  gets  $H$  as an input. These properties allow us to partially solve the sampling problem (Problem 1.2) with respect to a *family* of small hypergraphs  $\mathcal{H}$ . Indeed, we can use the sampler  $S$  to sample a  $k$ -wise independent  $(n, m, d)$ -hypergraph  $G$  and use the basic tester to test that  $G$  is  $\mathcal{H}$ -free for all subgraphs  $H \in \mathcal{H}$ . If one of the tests fails, we repeat the process from the beginning. Since  $\mathcal{H}$  contains at most  $\exp(t^d) < \text{poly}(n)$  hypergraphs, the expected running time will be polynomial, assuming that a random  $k$ -wise independent  $(n, m, d)$ -hypergraph is  $\mathcal{H}$ -free with noticeable probability.

*Corollary 2.2 (pseudorandom  $\mathcal{H}$ -free hypergraphs):* Let

$c, d, t(n), k(n)$  and  $m = n^c$  be as in Theorem 2.1. Let  $\mathcal{H}$  be an efficiently constructible family of hypergraphs each of size at most  $t(n)$  such that a  $k(n)$ -wise independent  $(n, m, d)$ -hypergraph is  $\mathcal{H}$ -free with noticeable probability of  $1/\text{poly}(n)$ . Then, there exists a probabilistic algorithm that runs in expected poly( $n$ )-time and samples an  $\mathcal{H}$ -free hypergraph from some  $k$ -wise independent distribution over  $(n, m, d)$ -hypergraphs.

*Remark 2.3:* It is natural to try and sample a *uniform*  $\mathcal{H}$ -free  $(n, m, d)$ -hypergraph, i.e., to replace the  $k$ -wise independent distribution in Corollary 2.2 with the uniform distribution over  $\mathcal{G}_{n,m,d}$ . We conjecture that sampling uniform  $\mathcal{H}$ -free hypergraphs is computationally infeasible and present some evidence towards this conjecture. In particular, suppose that:

- ( $\star$ ) For some families of hypergraphs, it is infeasible to *certify*  $\mathcal{H}$ -freeness over the uniform distribution. That is, there is no 1-sided error tester that accepts most  $(n, m, d)$ -hypergraphs and never accepts a hypergraph that is not  $\mathcal{H}$ -free.

We show that, under the ( $\star$ ) assumption, sampling uniform  $\mathcal{H}$ -free hypergraphs implies the existence of *one-way functions*. Put differently, a sampler would allow us to convert average-case hardness (of testing) to one-wayness, or, in the language of Impagliazzo [25], to move from Pessiland to Minicrypt.

The ( $\star$ ) assumption (hardness of certifying  $\mathcal{H}$ -freeness) is closely related to previous intractability assumptions (cf. [7], [9], [14]). We further relate this assumption to the problem of certifying that a random low-density parity-check code has a high distance. (See the full version of this paper [12] for details.)

*Remark 2.4 (On  $k$ -wise independence):* It is instructive to note that Theorem 2.1 employs  $k$ -wise independence in an unconventional way. Typically, the notion of  $k$ -wise independence is useful due to the combination of pseudorandomness with computationally-cheap and randomness-efficient implementations. In contrast, the proof of Theorem 2.1 exploits the simple algebraic structure of  $k$ -wise independence constructions to force a structure on the sampled object (the hypergraph  $G$ ) in a way that makes it amenable to efficient analysis (i.e., subgraph testing). The fact that such implementation is computationally-cheap or randomness-efficient is not really needed. (Nevertheless, these properties will be used in the next subsection.)

**ZPP and explicit constructions.**: Corollary 2.2 immediately leads to a **ZPP**-construction of  $\mathcal{H}$ -free hypergraphs. We further observe that, under standard worst-case derandomization assumptions, any **ZPP**-construction implies an explicit construction.

*Corollary 2.5 (explicit  $\mathcal{H}$ -free hypergraphs):* Let  $c, d, t(n), k(n)$  and  $m = n^c$  and  $\mathcal{H}$  be as in Corollary 2.2. Assuming that the class of functions computable in  $2^{O(n)}$  uniform-time requires  $2^{\Omega(n)}$ -size circuits, there exists a

deterministic  $\text{poly}(n)$ -time algorithm that always outputs an  $\mathcal{H}$ -free  $(n, m, d)$ -hypergraph.

The above assumption is known to imply, for any constant  $a$ , a pseudorandom generator  $\text{prg}$  that fools  $n^a$ -time algorithms with logarithmic size seed [26]. Such a generator can be used to fully de-randomize the **ZPP** construction  $A$  and derive a fully explicit construction  $A'$ . (The algorithm  $A'$  just outputs the first seed  $s$  for which  $A(\text{prg}(s))$  does not output “failure”.) This makes a crucial use of the ability to recognize bad outputs (which trivially holds for **ZPP** samplers). We are not aware of a similar transformation that applies to “Monte-Carlo” constructions that have a positive failure probability.<sup>5</sup>

### B. The Succinct Setting

So far we assumed that the computational complexity of the sampler is allowed to grow polynomially in the size of the hypergraph  $G$ . In some scenarios, it is more natural to think of the hypergraph as a huge object and require a running time that is polynomial in  $\log n$ . In particular, we say that an  $(n, m, d)$  hypergraph  $G$  has a succinct representation if it can be represented by an identifier  $z$  of length  $\text{polylog}(n)$  such that given  $z$ , a hyperedge  $e \in [m]$ , and an index  $i \in [d]$ , it is possible to compute the  $i$ -th member of the hyperedge  $e$  in time  $\text{polylog}(n)$ .<sup>6</sup> (Here we assume that the hyperedges are ordered and can be represented by  $d$ -tuples.) We prove a succinct version of Theorem 2.1 that applies to constant-size subgraphs  $H$  and  $\text{polylog}(n)$ -wise independence.

*Theorem 2.6:* For every log-density parameter  $c > 1$ , edge-uniformity parameter  $d \geq 2$ , constant subgraph size  $t$  and independence parameter  $k(n) \leq \text{polylog}(n)$ , there exists a  $\text{polylog}(n)$ -time sampler/tester pair  $(S, T)$  with the following properties:

- Given  $n$  (in binary representation), the randomized sampler uses its internal random coins  $r$  to sample a succinct  $(n, m = n^c, d)$  hypergraph  $G_r$  whose hyperedges are  $k(n)$ -wise independent.
- The deterministic tester takes as input a  $d$ -uniform hypergraph  $H$  of size at most  $t$  and a fixed sequence

<sup>5</sup>There are cases in which derandomization assumptions can be easily used to turn a negligible-error construction into an explicit construction [29]. This typically happens when one of the following holds: (1) It is “easy” to recognize a “bad” object (i.e., to detect a violation of the desired property) in polynomial-time; or (2) There is an efficient way to combine a “bad” instance with several “good” instances into a single “good” instance. As far as we know, in general, both conditions fail for  $\mathcal{H}$ -freeness.

<sup>6</sup>This is in contrast to the (more common) notion of succinctness (in the context of standard graphs), where, given a vertex  $v$  and an index  $i$ , we can compute the  $i$ -th neighbor of  $v$  in time  $\text{polylog} n$ . Our notion of succinctness is better suited for our applications, in which a hypergraph represents the dependencies graph of some function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^m$  (e.g., low-biased generator) where inputs correspond to vertices, outputs correspond to hyperedges, and the  $i$ -th hyperedge contains the vertices on which the  $i$ -th output depends. Our notion of succinctness guarantees that each output of  $f$  can be computed in  $\text{polylog}(n)$ -time (e.g., in the RAM model).

of coin tosses  $r$  and accepts the input if and only if  $H$  is a subgraph of the hypergraph  $G_r = S(n, r)$  that is generated by  $S$  using coin tosses  $r$ .

Theorem 2.6 leads to the following succinct version of Corollary 2.2.

*Corollary 2.7:* Let  $c, d, t, k(n)$  and  $m = n^c$  be as in Theorem 2.6. Let  $\mathcal{H}$  be a family of hypergraphs each of size at most  $t$ , such that a  $k(n)$ -wise independent  $(n, m, d)$ -hypergraph is  $\mathcal{H}$ -free with probability of  $1/\text{polylog}(n)$ . Then, there exists a probabilistic algorithm that runs in expected  $\text{polylog}(n)$ -time and samples a succinct  $\mathcal{H}$ -free hypergraph from some  $k$ -wise independent distribution over  $(n, m, d)$ -hypergraphs.

As already mentioned the problem of constructing huge  $k$ -wise independent graphs that satisfy some given property (specification) was studied in [2], [21], [35], [36]. Corollary 2.7 provides a zero-error (aka “truthful”) solution for this problem with respect to  $\mathcal{H}$ -free hypergraphs of given density. To the best of our knowledge, prior to our work no solution was known even for the case of undirected graphs and concrete fixed-size forbidden subgraphs.

### C. Negligible-Error Construction of Constant-Degree Unbalanced Expanders

We move back to the non-succinct setting, and consider the problem of explicitly constructing a single, not necessarily random, *expander* graph. We say that an  $(n, m, d)$ -hypergraph is an  $(\alpha, t)$ -expander if every set  $S$  of hyperedges of size at most  $t$  “touches” at least  $\alpha|S|$  vertices.<sup>7</sup> Equivalently, an  $(\alpha, t)$ -expander is an  $(n, m, d)$ -hypergraph that avoids small “dense” subgraphs, i.e.,  $(n', m', d)$ -hypergraphs with  $n' \leq \alpha m'$  for  $m' \leq t$ .

We focus on the setting of *constant-degree highly unbalanced* expanders. That is, we let  $d$  be a constant, and assume that the number of hyperedges  $m$  is polynomially larger than  $n$ , i.e.,  $m = n^c$  for some constant log-density  $1 < c < d$ . A standard probabilistic calculation shows that in this regime most  $(n, m, d)$ -hypergraphs achieve a good expansion factor of  $\alpha = \Omega(d)$  (or even  $\alpha = d - O(1)$ ) for polynomially-small subsets of size at most  $t = n^{1-\delta}$  where  $\delta$  is a constant that depends on  $\alpha, c$  and  $d$ . Unfortunately, the problem of *efficiently constructing* highly-unbalanced constant-degree expanders is wide open. Existing constructions either have only linearly many hyperedges  $m = O(n)$  [16] or suffer from a super-constant (actually polylogarithmic) degree [23]. Motivated by the numerous applications of constant-degree highly-unbalanced expanders (to be discussed later), we present a negligible-error construction of such graphs.

We begin by giving a **ZPP**-construction of constant-degree highly-unbalanced hypergraphs that expand well for

<sup>7</sup>This formulation is equivalent to the more standard notion of bipartite expanders over  $n$  left vertices and  $m$  right vertices where the degree of each right vertex is  $d$ , and every set  $S$  of right vertices of size at most  $t$  is connected to at least  $\alpha|S|$  left vertices.

small sets of super-constant size. The following theorem follows from Corollary 2.2 by instantiating the class  $\mathcal{H}$  of forbidden subgraphs with the class of small non-expanding hypergraphs.

*Theorem 2.8 (ZPP-construction of small-set expanders):* For every log-density parameter  $c > 1$ , edge-uniformity parameter  $d > c$ , and  $\alpha < d - c$  there exists a **ZPP**-construction of  $(n, m = n^c, d)$ -hypergraph with  $(\alpha, t)$ -expansion where  $t = O\left(\frac{\log \log \log n}{\log \log \log \log n}\right)$ .

Next, we show that Theorem 2.8 gives rise to a negligible-error construction of hypergraphs that expand well for polynomial-size subsets. That is, we downgrade the level of explicitness (from zero-error construction to negligible-error construction) and upgrade the expansion threshold  $t$  to polynomial.

*Theorem 2.9:* For every log-density parameter  $c > 1$ , edge-uniformity parameter  $d > c$ , and  $\alpha < d - c$ , there exists a negligible-error construction of  $(n, m = n^c, 2d)$ -hypergraph with  $(\alpha, t)$ -expansion where  $t = \Omega(n^{1-\delta})$  and  $\delta = (c - 1)/(d - \alpha - 1)$ .

Recall that a negligible-error construction guarantees the existence of a poly( $n$ )-time randomized algorithm that outputs, except with negligible probability of  $n^{-\omega(1)}$ , an  $(\alpha, t)$ -expanding  $(n, m, 2d)$ -hypergraph.

Theorem 2.9 provides an  $(n, m, D = 2d)$ -hypergraph whose expansion parameters  $(\alpha, t)$  match the parameters of a random  $(n, m, d)$ -hypergraph. While this factor-2 gap in the degree has a relatively minor effect on the expansion threshold  $t$  (which is still polynomial in  $n$ ), it limits the expansion factor  $\alpha$  to be at most  $D/2 - O(1)$ . Such an expansion factor suffices for many applications, but in some cases it is useful to expand by a factor larger than  $D/2$ . Notably, expansion beyond half the degree guarantees the useful *unique expansion* property. Formally, a hypergraph is a  $(\beta, t)$ -*unique expander* if for every set  $S$  of at most  $t$  hyperedges there exists a set  $U$  of at least  $\beta|S|$  vertices such that each vertex in  $U$  appears in a unique hyperedge  $e$  in  $S$ .

Perhaps surprisingly, although we cannot expand by a factor better than  $D/2$ , we can still get a negligible-error construction of unique expanders.

*Theorem 2.10:* For every log-density parameter  $c > 1$ , edge-uniformity parameter  $d > 2c$ , and  $\beta < d - 2c$ , there exists a negligible-error construction of  $(n, m = \Omega(n^c), 2d)$ -hypergraph with  $(\beta, t)$  unique-expansion where  $t = \Omega(n^{1-\delta})$  and  $\delta = 2(c - 1)/(d - \beta - 2)$ .

Theorems 2.8, 2.9 and 2.10 (whose proofs appear in the full version of this paper [12]) provide the first negligible-error constructions of highly-unbalanced constant-degree expanders.

#### D. Applications

We use our negligible-error construction of unbalanced expanders to obtain the first negligible-error constructions

of several useful objects including batch codes, and locally-computable  $k$ -wise independent generators, low-bias generators and randomness extractors. These applications follow immediately from our expanders via standard techniques. (See full version of this paper [12] for more details.) Below we briefly describe two non-trivial applications: high-rate low-density parity-check (LDPC) codes, and locally-computable cryptographic pseudorandom generators (PRGs) with polynomial stretch.

1) *High-Rate LDPC Codes:* LDPC codes [19] (see also [32], [37], [38]) are  $[m, k]$  linear error-correcting codes whose  $(m - k) \times m$  parity check matrix is *sparse* in the sense that it contains only  $dm$  non-zero entries for some *sparsity constant*  $d = O(1)$ .<sup>8</sup> Any  $(n, m, d)$ -hypergraph  $G$  defines an  $[m, m - n]$ -binary LDPC by letting the parity-check matrix be the  $n \times m$  incidence matrix of  $G$ . The parity-check matrix has  $md$  ones, and is therefore sparse when  $d = O(1)$ . Moreover, it is well known that if, for some  $\beta > 0$ , the hypergraph  $G$  achieves unique-neighbor expansion of  $(\beta, \gamma)$  then the resulting code has a distance of  $\gamma$ .

Theorem 2.10 leads to the first negligible-error construction of high-rate LDPC code that tolerates polynomially small number of errors.<sup>9</sup> In particular, for every constants  $1 < c < d/2$  we get an LDPC with sparsity  $2d$  that maps  $k$  bits of information into  $k + O(k^{1/c})$ -bit codeword with a distance of  $n^{1-O(c/d)}$ .

Sipser and Spielman showed that an LDPC code whose underlying graph has a very good expansion factor (well beyond half the degree) can be efficiently decoded by a linear time decoding algorithm with  $O(\log n)$  parallel steps [37]. Unfortunately, the hypergraph given by Theorem 2.10 does not satisfy such a strong expansion property. Nevertheless, we show that our construction can be tweaked in a way that still allows for highly efficient decoding via a variant of the Sipser-Spielman decoder. In particular, we prove the following theorem.

*Theorem 2.11:* For every constant  $c > 1$ , integer  $d > 10c$  and constant  $0.9d < \alpha < d - c$ , there exists a negligible-error construction of an  $[m, m - 2m^{1/c}]$ -LDPC code with sparsity of  $2d$  that admits a decoder that runs in quasi-linear time  $O(m \log^2 m)$  and  $O(\log^2 m)$  parallel steps and corrects up to  $\Omega(n^{1-\delta})$  errors where  $\delta = (c - 1)/(d - \alpha - 1)$ .

<sup>8</sup>Recall that an  $[m, k]$ -code is a linear code with codewords of length  $m$  and information words of length  $k$ , and an  $[m, k, \Delta]$ -code has, in addition, an absolute distance of  $\Delta$ .

<sup>9</sup>The status of existing explicit/negligible-error constructions is the same as the status of unbalanced expanders. In fact, any  $[m, m - n, t]$  LDPC with sparsity  $md$  implies an  $(n, m)$ -hypergraph with average rank of  $d$  such that any set of  $t$  hyperedges has some “odd-expansion” property. We do not have better ways to construct such expanders compared to standard expanders. The situation is similar for all the applications discussed in this paper. That is, unbalanced constant-degree hypergraphs with some expansion property for polynomial-size subsets of hyperedges are also necessary for all these applications, and accordingly so far we had no explicit or negligible-error constructions.

2) *Polynomial-Stretch Locally-Computable PRGs*: A cryptographic pseudorandom generator stretches a short random  $n$ -bit seed into a longer  $m$ -bit pseudorandom string that is computationally indistinguishable from a truly random string. We say that a PRG is locally-computable if each of its output bits depends on at most  $d = O(1)$  input bits. Locally-computable PRGs were extensively studied in the past two decades. In particular, locally-computable PRGs that *polynomially* stretch their input (i.e.,  $m = n^c$  for  $c > 1$ ) have shown to have remarkable applications. This includes secure-computation with constant computational overhead [8], [27] and general-purpose obfuscation based on constant-degree multilinear maps (cf. [30], [31]).

Unfortunately, constructing locally-computable PRGs with polynomial-stretch turns out to be a challenging task. Indeed, while there are good constructions of local PRGs with sub-linear stretch  $m = n + o(n)$  [10], and even linear stretch  $m = n + \Omega(n)$  [4], [6], [11] under standard assumptions, we currently have only partial solutions to the polynomial-stretch regime. In particular, in [4] the first author constructed a locally-computable polynomial-stretch *weak-PRG*. Here *weak* means that the distinguishing advantage  $\varepsilon$  of any polynomial-time adversary is upper-bounded by some fixed inverse polynomial  $1/\text{poly}(n)$ , whereas the standard cryptographic definition requires a negligible distinguishing advantage of  $n^{-\omega(1)}$ . The construction of [4] is based on the one-wayness of random local functions with polynomially-long output length – a variant of Goldreich’s one-wayness assumption [20].

We show that our negligible-error construction of expanders can be used to upgrade any weak-PRG into standard PRG while preserving constant locality and polynomial stretch.

*Theorem 2.12*: For every constants  $d \in \mathbb{N}, a > 0$  and  $c, c' > 1$  there exists a constant  $d'$  for which the following holds. Any ensemble of  $d$ -local PRGs that stretches  $n$  bits to  $n^c$  bits and achieves indistinguishability parameter of  $\varepsilon = 1/n^a$  can be converted into an ensemble of  $d'$ -local (standard) PRGs that stretches  $n$  bits to  $n^{c'}$  bits.

The term ensemble here means that, given  $1^n$ , we can sample in polynomial-time a circuit that implements a locally computable function  $f$  from  $n$ -bits to  $m$  bits so that except with negligible probability  $f$  is a PRG. This use of ensembles is standard in the context of parallel cryptography and typically has at most a minor effect on the applications.

Combined with the weak-PRG of [4], Theorem 2.12 yields the first construction of local PRG with polynomial stretch based on a one-wayness assumption, resolving an important open question in the theory of parallel cryptography [4], [10], [27], [34]. We mention that there is a second heuristic approach for constructing such pseudorandom generators, due to [27] (see also [13], [34] and the survey [5]). This approach also requires the existence of explicit (or negligible-error) construction of highly-unbalanced constant

degree expanders, and one can instantiate it using our constructions as well. In fact, it is known that such expanders are *necessary* for *any* construction of locally-computable PRG with large-stretch [11].<sup>10</sup> Theorem 2.12 shows that, up to some extent, such expanders are also sufficient for this task.

### III. TECHNICAL OVERVIEW

We briefly sketch some of the main techniques.

#### A. Sampler/Tester for $H$ -free hypergraphs

We present a  $k$ -wise independent distribution over  $(n, m, d)$  hypergraphs that admits efficient subgraph-testing for hypergraphs of size  $t = O(\frac{\log \log \log n}{\log \log \log \log n})$  (as in Theorem 2.1). For simplicity let us focus on the case of directed graphs ( $d = 2$ ). Let us further assume that the number of vertices  $n$  is prime, and that the number of edges  $m$  is an integer power of  $n$ , i.e.,  $m = n^c$  for some integer  $c \geq 1$ .

We identify every vertex with an element of the field  $\mathbb{F} = \text{GF}(n)$ , and index the edges with  $c$ -tuples of elements of  $\mathbb{F}$ . We sample the graph by uniformly sampling a pair  $(A, B)$  of  $c$ -variate polynomials over  $\mathbb{F}$  of total degree  $k$ . For every tuple  $h = (h_1, \dots, h_c) \in \mathbb{F}^c$ , we define the  $h$ -th edge to be  $(A(h), B(h))$ . That is,  $h$  leaves the source vertex  $A(h)$  and enters the target vertex  $B(h)$ .

It is not hard to show that every set of  $k$  edges are uniformly distributed. (This follows by a simple extension of the well-known fact that random degree- $k$  univariate polynomials are  $k$ -wise independent.) We reduce the problem of subgraph testing to the following polynomial satisfiability problem: Check whether a system of  $O(t)$  polynomial equations of degree  $D = O(k + t)$  and  $O(t)$  variables over the field  $\mathbb{F}$  has a solution. The latter problem can be solved by an algorithm of Kayal [28, Theorem 6.1.1] in time  $\text{poly}(D^{t^{O(t)}} t \log |\mathbb{F}|)$  which is polynomial in  $n$  for our choice of parameters.<sup>11</sup>

We describe a simplified version of the reduction for the special case of detecting a directed rectangle (4-cycle). First observe that any sequence of edges indexed by  $x_1, x_2, x_3, x_4 \in \mathbb{F}^c$  that form a rectangle must satisfy the system  $\mathcal{L}_1$  of equations

$$\begin{aligned} B(X_1) &= A(X_2), & B(X_2) &= A(X_3), \\ B(X_3) &= A(X_4), & B(X_4) &= A(X_1), \end{aligned}$$

<sup>10</sup>Indeed, prior works on expander-based cryptography (cf. [3], [4], [8], [13], [20], [27], [30], [31]) assumed, either explicitly or implicitly, the existence of explicit constant-degree unbalanced vertex-expander, or at least that such expanders can be sampled efficiently with negligible error, even though it was unknown how to do so, cf. [27, Remark 5.7]).

<sup>11</sup>On a high-level, Kayal’s algorithm decomposes the algebraic closed set  $X$ , defined by the input polynomials, into closed sets  $X_i$ , such that each  $X_i$  is birational to a hypersurface  $Y_i$ . If some  $Y_i$  has an absolutely irreducible  $\mathbb{F}_q$ -factor, then by Weil’s theorem there exist rational points in  $Y_i$ , and by the birational correspondence also in  $X_i$ , so the algorithm outputs “yes”. Otherwise, if  $X_i$  contains a rational point it has to lie on a closed proper subset of  $X_i$ . The subset is computed and the algorithm is applied on it recursively. See [28] for full details.

where the formal variables  $X_1, X_2, X_3$  and  $X_4$  correspond to indices of edges and so they take values from  $\mathbb{F}^c$ . However, a moment of inspection suggests that the system  $\mathcal{L}_1$  can be also solved by a 2-cycle: Assign the first edge to  $X_1$  and  $X_3$  and the second edge to  $X_2$  and  $X_4$ . We therefore need a mechanism for excluding solutions that assign the same value to different variables. Fortunately, this can be achieved by introducing few more auxiliary variables and few more low-degree equations.

In particular, we add four new variables  $Y = (Y_0, Y_1, Y_2, Y_3)$  which take values from  $\mathbb{F}^c$  and define a new system  $\mathcal{L}_2$  of four equations

$$\begin{aligned} \sum_{j=0}^3 Y_j X_1^j &= 1, & \sum_{j=0}^3 Y_j X_2^j &= 2, \\ \sum_{j=0}^3 Y_j X_3^j &= 3, & \sum_{j=0}^3 Y_j X_4^j &= 4, \end{aligned}$$

where arithmetic is over the extension field  $\text{GF}(n^c)$  and 1,2,3,4 represent four distinct constants from this field. Observe that the variables  $Y$  define a degree-3 univariate polynomial  $P_Y(\cdot)$ , and the system is satisfiable if this polynomial evaluates to  $i$  over the input  $X_i$ . Clearly, any solution to  $\mathcal{L}_1$  that assigns non-distinct values to the  $X$  variables violates  $\mathcal{L}_2$ . On the other hand, any solution to  $\mathcal{L}_1$  that assigns distinct values to the  $X$  variables can be extended by an assignment to  $Y$  in a way that satisfies  $\mathcal{L}_2$ . (Such an assignment can be found via polynomial interpolation). Hence, by combining  $\mathcal{L}_2$  with  $\mathcal{L}_1$  we get a new system that excludes solutions in which the same edge is being used twice.

To complete the reduction one has to deal with few additional minor technicalities. Firstly, the system  $\mathcal{L}_1$  is over the field  $\mathbb{F} = \text{GF}(n)$  whereas the second system is over the extension field  $\text{GF}(n^c)$ . This is solved by projecting down the second system to the base field, and checking the satisfiability of the combined system over  $\mathbb{F}$ . Secondly, an additional distinctness gadget should be used to further force distinct vertices. (Otherwise, a system for detecting a 4-path will be fooled by a 4-cycle.)

The construction extends to  $d$ -uniform hypergraphs in a straightforward way (use  $d$  polynomials instead of 2), and to the case of *non-integral* log-density  $c = \log_n m$  by working over appropriate extension fields. (See full version of this paper [12] for full details.) Finally, observe that the sampled graph has a *succinct* representation: An edge query can be implemented by evaluating a low-degree polynomial. Moreover, for polylogarithmic  $k$  and constant  $t$ , the polynomial-satisfiability algorithm can be implemented in polylogarithmic time, and so we get a succinct version of the theorem.

## B. Expanding the Expansion: From Small-Sets to Large Sets

Theorem 2.8 converts a zero-error construction of  $(n, m, d)$ -hypergraphs  $G_1$  with  $(\alpha, t)$ -expansion for small threshold  $t = O(\frac{\log \log \log n}{\log \log \log \log n})$  into a negligible-error construction of  $(\alpha, T)$ -expander with polynomial threshold of  $T = n^{1-\delta}$ . The proof is based on two observations.

First, suppose that, in addition to  $G_1$ , we are given an  $(n, m, d)$ -hypergraph  $G_2$  with the property that any “medium-size” set  $S$  of hyperedges  $t \leq |S| \leq T$  expands by a factor of  $\alpha$ . Then, we can combine  $G_1$  and  $G_2$  into a single  $(n, m, 2d)$ -hypergraph  $G$  by letting the  $i$ -th hyperedge of  $G$  be the union of the  $i$ -th hyperedge of  $G_1$  and  $G_2$ . (Both hyperedges should be viewed as  $d$ -size multisets over  $[n]$ .) Every hyperedge set  $S$  of size at most  $T$  now expands by a factor of  $\alpha$ , either due to the expansion of  $G_1$  (when  $|S| \leq t$ ) or due to the expansion of  $G_2$  (when  $t < |S| \leq T$ ). As a result, the expansion factor remains unchanged, but the overall degree doubles.

The second observation is that a random  $(n, m, d)$ -hypergraph forms a negligible-error construction of medium-size expanders. Indeed, in our regime of parameters, the probability that a random  $(n, m, d)$ -hypergraph contains an  $s$ -tuple of hyperedges that violate expansion (touch less than  $\alpha s$  vertices) is  $n^{-\Omega(s)}$  which is negligible when  $s \geq t > \omega(1)$ . (The constants in the big-Omega depend on  $d, c = \log_n m$  and the exponent of the expansion threshold  $\log_n T$ .) Indeed, the only reason for which a random  $(n, m, d)$ -hypergraph does not qualify as a negligible-error expander is the existence of small non-expanding sets which appear with *noticeable* probability.

*Unique-expansion:* Unique-expansion is achieved via a similar approach except that the merging procedure is slightly different. As before we merge a pair of  $(n, m, d)$ -hypergraphs  $G_1$  and  $G_2$  into a single hypergraph  $G$  by defining the  $i$ -hyperedge of  $G$  to be the union of the  $i$ -th hyperedge of  $G_1$  and  $G_2$ . However, now we treat the vertices of  $G_1$  and the vertices of  $G_2$  as distinct sets. (E.g., the vertices of  $G_1$  are indexed from 1 to  $n$  and the vertices of  $G_2$  are indexed by  $n + 1$  to  $2n$ .) As a result,  $G$  is a  $(2n, m, 2d)$ -hypergraph. It is not hard to verify that if  $G_1$  is a  $(\beta, t)$  unique-expander and  $G_2$  expands by  $\beta$  for sets of size  $t < s \leq T$ , then  $G$  is a  $(\beta, T)$  unique-expander.

*Coding perspective:* Recall that unbalanced hypergraphs can be viewed as parity-check matrices of error-correcting codes where  $t$ -weight codewords correspond to “bad”  $t$ -size subgraphs (that violate unique expansion). Using this terminology the above transformation defines a code by taking the intersection of the code  $G_1$  (that has no nontrivial codewords of weight smaller than  $t$ ) with the code  $G_2$  (that has no codewords of weight  $s \in [t, T]$ ). The efficient decoding algorithm, presented in the full version of this paper [12], further exploits this view, and shows that, in our setting, a noisy codeword of the intersection code

$G$  can be decoded by combining the decoders of  $G_1$  and  $G_2$ . In particular, the  $G_2$  decoder “reduces” the number of noisy coordinates from  $T$  to (roughly)  $t$ , and the  $G_1$  decoder further reduces the noise from  $t$  to zero.

### C. Local Hardness Amplification: From weak-PRGs to strong-PRGs

Theorem 2.12 converts a weak-PRG  $g : \{0, 1\}^n \rightarrow \{0, 1\}^m$  into a standard PRG while preserving polynomial stretch and constant locality. Such hardness amplification theorems are typically based on a direct sum construction: Apply  $g$  on  $\ell$  independent copies of the seed and XOR the results. By Yao’s XOR-lemma (cf. [22]), if we start with an inverse polynomial indistinguishability, it suffices to take a super-constant number of copies  $\ell = \omega(1)$ . Unfortunately, this leads to a super-constant growth in the locality. We therefore take a different approach based on randomness extractors.

We generate polynomially-many pseudorandom strings (using independent seeds) and place them as rows of a  $k \times m$  matrix. Since the rows are independent and the indistinguishability parameter is a small inverse polynomial, one can guarantee that each column has an almost full pseudo-entropy of  $k - 1/\text{poly}(k)$ . Finally, we extract the randomness from each column using randomness extractor. This approach was used by [4] (following a more general transformation from [24]) to obtain a linear-stretch local-PRG.

The success of this approach depends, however, on the existence of a suitable locally-computable randomness extractor. The extractor should take a  $k$ -bit source with an almost-full entropy of  $k - 1/\text{poly}(k)$  and a polynomially-short random seed of length  $k^{1-\varepsilon}$  and output an almost-uniform  $k$ -bit string with negligible statistical error. The main new observation is that such extractors exist, and a negligible-error construction can be achieved based on negligible-error construction of highly-unbalanced constant-degree expanders. (Similar connections between expanders and locally-computable extractors were established, for a different regime of parameters, in related contexts [4], [11]). See full version of this paper [12] for more details.

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